

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR ALLIED IRISH BANKS P.L.C.

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any foreign bank that maintains a correspondent account with any U.S. bank or U.S. broker dealer in securities (a covered financial institution as defined in 31 C.F.R. 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 1010.100(u)). A bank includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 1010.100(d)).¹

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks:

A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification:

A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for each branch or office that maintains a Correspondent Account with a covered Financial Institution.

A. The undersigned financial institution, **Allied Irish Banks, p.l.c.**, on behalf of each of entities listed in the attached document (page 3), hereby certifies as follows (please click [here](#) to view the list of entities):

B. Correspondent Accounts covered by this Certification:

This Certification applies to all accounts established for Allied Irish Banks, p.l.c. by covered Financial Institutions.

C. Physical Presence/Regulated Affiliate Status:

Allied Irish Banks, p.l.c. maintains a physical presence, meaning that each of the Allied Irish Banks, p.l.c. entities:

1. Has a place of business at the street addresses set forth in the attached document where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities (please click [here](#) to view the list of entities);
2. Is authorised to conduct banking activities in the country where its place of business is located;
3. Is subject to inspection by the Banking Authorities identified in the attached document that licensed the particular Allied Irish Banks, p.l.c. entity to conduct banking activities, (please click [here](#) to view the list of entities).

¹ A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

D. Indirect Use of Correspondent Accounts:

No Correspondent Account maintained by a covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Allied Irish Banks, p.l.c. hereby certifies that it does not use any Correspondent Account with a covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information:

Allied Irish Banks, p.l.c. is wholly owned by AIB Group plc. The shares of AIB Group plc are listed on the main securities market of Euronext Dublin and the main market of the London Stock Exchange. The National Treasury Management Agency (NTMA) as controller and manager of the Ireland Strategic Investment Fund ("ISIF") is the beneficial owner of under 20% of the Ordinary Shares of AIB Group plc. The 100% beneficial owner of NTMA is the Irish Minister for Finance. Minister for Finance is 100% owned by Government of the Republic of Ireland. 10.59% of AIB Group is owned by Blackrock Inc.

No other shareholding entities and/or natural persons owning directly and/or indirectly 10% or more of AIB Group shares.

F. Process Agent:

The following individual:

Hannah Mulhall, Senior Vice President/Head of Compliance & BSA/AML & OFAC Officer is a resident of the United States at the following street address:

Allied Irish Banks, p.l.c., New York Branch, 825 Third Avenue – 14th Floor, New York, NY 10022, United States of America.

And is authorised to accept service of legal process on behalf of Allied Irish Banks, p.l.c. from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

Allied Irish Banks, p.l.c. hereby agrees to notify in writing each covered Financial Institution at which they maintain any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Allied Irish Banks, p.l.c. understands that each covered Financial Institution at which they maintain a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Allied Irish Banks, p.l.c. further understands the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Brian McKenzie, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorised to execute this Certification on behalf of Allied Irish Banks, p.l.c.



**Head of Group Financial Crime Compliance and MLRO ,
Allied Irish Banks, p.l.c.,
10 Molesworth Street,
Dublin 2,
Ireland**

Date: 17/01/2025

ALLIED IRISH BANKS, P.L.C.

Attachment to Allied Irish Banks, p.l.c. certification regarding correspondent accounts and / or relationships for regulated AIB Group entities, subsidiaries and branches.

AIB Entity / Subsidiary	Country	Address	Banking Authority/Regulator
Allied Irish Banks, p.l.c.	Ireland	10 Molesworth Street, Dublin 2, Ireland.	Central Bank of Ireland, European Central Bank (Single Supervisory Mechanism), New York State Department of Financial Services, Federal Reserve Bank of New York, Financial Conduct Authority.
100% OWNED SUBSIDIARIES			
AIB Mortgage Bank	Ireland	10 Molesworth Street, Dublin 2, Ireland.	Authorised / Licensed by the European Central Bank pursuant to Section 9 of the Central Bank Act, 1971. Supervised by the Central Bank of Ireland and the European Central Bank (Single Supervisory Mechanism).
EBS d.a.c. (Formerly EBS Limited)	Ireland	10 Molesworth Street, Dublin 2, Ireland.	Authorised / licensed by the European Central Bank pursuant to Section 9 of the Central Bank Act, 1971. Supervised by the Central Bank of Ireland and the European Central Bank (Single Supervisory Mechanism).
AIB Group (UK) plc	UK	92 Ann Street, Belfast, BT1 3HH, UK	Financial Conduct Authority Prudential Regulation Authority
AIB Insurance Services Limited	Ireland	10 Molesworth Street, Dublin 2, Ireland.	Central Bank of Ireland
AIB Leasing Limited	Ireland	10 Molesworth Street, Dublin 2, Ireland.	Central Bank of Ireland
AIB Wealth Pensioneer Trustee Limited	Ireland	9-12 Dawson Street, Dublin 2, Ireland	Central Bank of Ireland
Haven Mortgages Limited	Ireland	10 Molesworth Street, Dublin 2, Ireland.	Central Bank of Ireland

Dove Insurance Company Limited	Ireland	PO Box 33, Dorey Court, Admiral Park, St Peter's Port, GUERNSEY GY1 4AT.	Guernsey Financial Services Commission
Allied Irish Banks North America Inc.	USA	Allied Irish Banks, p.l.c., New York Branch 825 Third Avenue – 14th Floor New York, NY 10022, USA	Federal Reserve Bank of New York
AIB Debt Management Limited	Ireland	10 Molesworth Street, Dublin 2, Ireland	<i>Not a regulated entity.</i> AIB Debt Management Limited is 100% owned by Sanditon Limited which is in turn 100% owned by Allied Irish Banks, p.l.c.
GOODBODY ENTITIES (100% owned by AIB)			
EIIS Management Limited	Ireland	3 rd Floor, 40 Mespil Road, Dublin 4, D04 C2N4, Ireland.	Central Bank of Ireland
Goodbody Pensioneer Trustees Limited	Ireland	9-12 Dawson Street, Dublin 2, Ireland	Central Bank of Ireland
Goodbody Stockbrokers UC	Ireland	9-12 Dawson Street, Dublin 2, Ireland	Central Bank of Ireland
Goodbody Stockbroker Nominees Limited	Ireland	9-12 Dawson Street, Dublin 2, Ireland	Central Bank of Ireland
Goodbody Securities Inc.	USA	9-12 Dawson Street, Dublin 2, Ireland	The Financial Industry Regulatory Authority
BRANCHES			
Allied Irish Banks, p.l.c. New York Branch	USA	Allied Irish Banks, p.l.c., New York Branch 825 Third Avenue – 14th Floor New York, NY 10022, USA	The New York State Department of Financial Services Federal Reserve Bank of New York Central Bank of Ireland
Allied Irish Banks, p.l.c. London Branch	UK	Level 13, 70 St Mary Axe, London, EC3A 8BE, UK	Central Bank of Ireland, Financial Conduct Authority, European Central Bank (Single Supervisory Mechanism) Prudential Regulation Authority

Goodbody London Branch	UK	70 St. Mary Axe, London, EC3A 8BE, United Kingdom	Financial Conduct Authority
JOINT VENTURES AND ASSOCIATED COMPANIES			
First Merchant Processing (Ireland) d.a.c.* <i>* Owned (indirectly) circa 49.9% by Allied Irish Banks, p.l.c.</i>	Ireland	10 Hanover Quay, Dublin Docklands, Dublin 2, D02 A2W8,Ireland	Central Bank of Ireland
First Merchant Processing UK ltd	UK	Janus House, Endeavour Drive Basildon, Essex, SS14 3WF	Financial Conduct Authority